SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

COLES N ANTHONY	2. Date of Even Requiring State (Month/Day/Yea 10/18/2016	ment	3. Issuer Name and Ticker or Trading Symbol <u>CRISPR Therapeutics AG</u> [CRSP]					
(Last) (First) (Middle) C/O CRISPR THERAPEUTICS, INC.			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner		(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)		
200 SIDNEY ST.			Officer (give title below)	Other (spe below)	App	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) CAMBRIDGE MA 02139					2	-	y More than One	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Options (Right to Buy)	(1)	09/10/2025	Common Shares	217,827	1.81	D		
Stock Options (Right to Buy)	(2)	09/10/2025	Common Shares	50,266	1.81	I	By Coles 2015 Irrevocable Trust dated June 20, 2016	

Explanation of Responses:

1. This option was granted on September 10, 2015 with respect to 217,827 Common Shares with 100% of the shares vesting in 48 equal monthly installments thereafter.

2. This option was granted on September 10, 2015 with respect to 50,266 Common Shares with 100% of the shares vesting in 48 equal monthly installments thereafter.

Remarks:

/s/ N. Anthony Coles

** Signature of Reporting Person

10/18/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.