# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

### FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 15, 2020

## **CRISPR THERAPEUTICS AG**

(Exact name of Registrant as Specified in Its Charter)

Switzerland (State or Other Jurisdiction of Incorporation) 001-37923

(Commission File Number)

Not Applicable (IRS Employer Identification No.)

Baarerstrasse 14 6300 Zug, Switzerland (Address of Principal Executive Offices)

Not Applicable (Zip Code)

Registrant's Telephone Number, Including Area Code: +41 (0)41 561 32 77

**Not Applicable** 

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) □ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) □ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) □ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Securities registered pursuant to Section 12(b) of the Act:		owing provisions (see General Instructions A.2. below):				
□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) □ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))  Securities registered pursuant to Section 12(b) of the Act:  Title of each class  Trading Symbol(s)  Name of each exchange on which registered  Common Shares, nominal value CHF 0.03  CRSP  The NASDAQ Global Market  Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).  Emerging growth company □  If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new		Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)				
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#### Item 8.01. Other Events

On December 15, 2020, CRISPR Therapeutics AG (the "Company") received notification that the United States Patent and Trademark Office (the "USPTO") has initiated an interference proceeding at the Patent Trial and Appeal Board (the "PTAB") between certain pending U.S. patent applications co-owned by the University of California, the University of Vienna and Dr. Emmanuelle Charpentier (collectively, the "CVC Group") and a patent application currently owned by Toolgen, Inc. ("Toolgen"), all of which are related to the single guide format of CRISPR/Cas9 genome editing technology in eukaryotic cells. CRISPR Therapeutics has an exclusive worldwide license in the field of human therapeutics to Dr. Charpentier's rights as a co-owner of the CVC Group's portfolio. Specifically, the PTAB has declared Patent Interference No. 106,127 between the CVC Group's pending U.S, Patent Application Nos. 15/947,680; 15/947,700; 15/947,718; 15/981,807; 15/981,808; 15/981,809; 16/136,159; 16/136,165; 16/136,168; 16/136,175; 16/267,361; 16/276,365; 16/276,368; 16/276,374, and Toolgen's U.S. Patent Application No. 14/685,510. None of the CVC Group's issued U.S. patents are involved in this proceeding.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CRISPR THERAPEUTICS AG

By: /s/ Samarth Kulkarni

Date: December 17, 2020

Samarth Kulkarni, Ph.D. Chief Executive Officer